Spectrum Management, Radio Astronomy



Harvey Liszt NRAO spectrum manager & Chair, IUCAF





Atacama Large Millimeter/submillimeter Array Jansky Very Large Array Robert C. Byrd Green Bank Telescope Very Long Baseline Array



In terms of site protection, the biggest differences between radio and OIR are disappearing:

It is no longer possible to expect to use the radio spectrum everywhere, even dedicated astronomy spectrum



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Radio astronomy is falling back upon a smaller set of ever more remote installations See my map at http://tinyurl.com/yrvszk

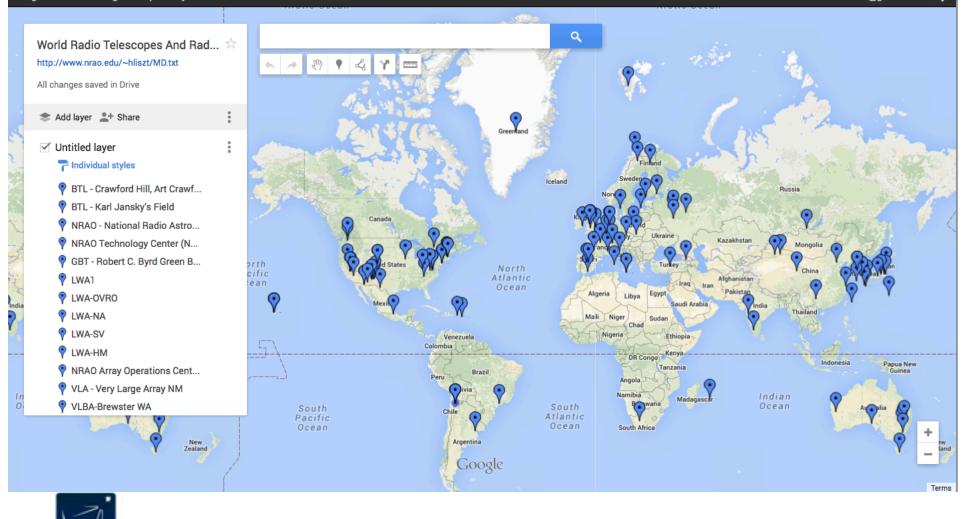




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NRAO

hliszt38@gmail.com - 🔅



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Many are also OIR sites: Kitt Peak, Mt. Graham, Mauna Kea, La Silla, Atacama, South Pole

Curious that these are in the Americas



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Commonalities stop at the point where radio astronomy engages in SPECTRUM MANAGEMENT





What is spectrum management?

- Spectrum management is the politics of access to the electromagnetic spectrum from 0 3000 GHz (∞-100µ)
 - The politics largely take place at a UN organ in Geneva (ITU-R) disguised as international diplomacy
- It is very big business, there are far more spectrum managers than astronomers
- A growth area! Full of high-paid full-time professionals
 - Vast cadres of colorless nay-saying technocrats
 - Radio astronomy uniquely uses mostly low-paid part-timers
 - Distinguished by MacBooks and gray beards
 - Three full-timers: 2 x NSF, 1 x CRAF
 - Perhaps 5-7 FTE world-wide in PhD astronomers





Why spectrum management? Because.

- H I at 21cm and OH at 18cm are ONLY observable because radio astronomers have managed to protect their spectrum
 - Currently struggling to force Iridium to provide usable observing conditions for 1612 MHz OH *in our own band!*
 - German telecom agency revoked Iridium's license (yea!)
 - ECC Decision could affect their European operations





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- H I at 21cm and OH at 18cm are ONLY observable because radio astronomers have managed to protect their spectrum
 - Currently struggling to force Iridium to provide usable observing conditions for 1612 MHz OH *in our own band!*
 - Earlier, OH was obscured by GLONASS (boo)
 - Following protracted negotiations with IUCAF (yea!), GLONASS almost totally left our 1612 MHz OH band
 - GLONASS said "enough!" In 2007 and connived to have themselves exempted from final limits (boo!)

- Your iPhone has a GLONASS receiver



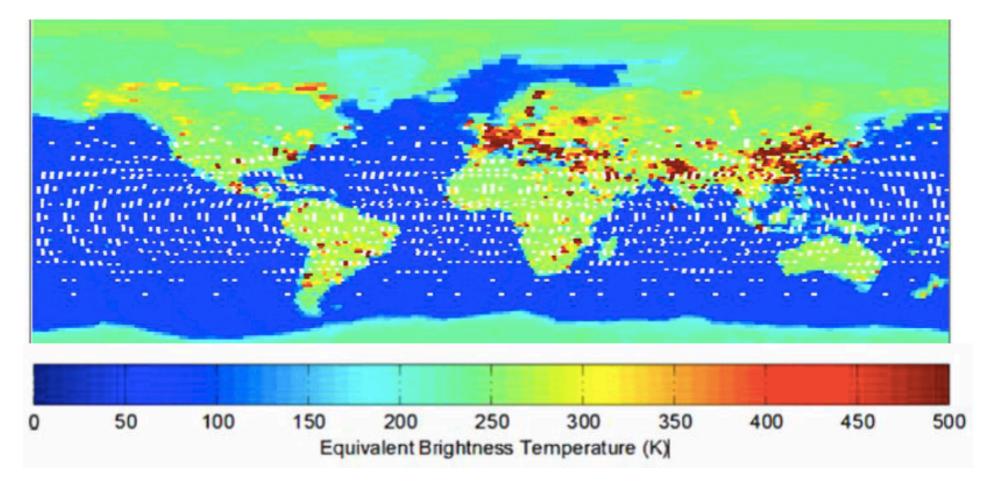
Why spectrum management? Because. II

- H I at 21cm and OH at 18cm are ONLY observable because radio astronomers have managed to protect their spectrum
 - Without care, 21cm H I will have at best only very restricted availability once wireless broadband spectrum is expanded
 - 21cm H I will no longer be available even as a teaching tool without heavy filtering
 - Many administrations are extremely cynical about protecting the 21cm band even though it is used for important soil moisture measurements from space.
 - Are we blowing it by not doing hi-res full-sky surveys while we still have access?





Noise temperature, 1400–1427: RS.2315





Why spectrum management? Because. II

- Transmitters are moving to higher frequencies and broader bandwidths just when our own bandwidths are opening up
 - 9.0-10.5 GHz occupied by orbiting SAR imagers just as the VLA gets complete frequency coverage
 - If a SAR is seen within ~20-30 dB of full strength, it's game over for a VLA receiver Or 27 of them.
 - 9.6 GHz SAR operators with white hats just agreed not to illuminate RAS sites without prior notice (yea!)
 - No one will tell me how many SAR are operated by guys with black hats (boo!)
 - Hope to extend coordination to other bands for SAR and the 94 GHz successor to CloudSat



How does spectrum management happen: I

- Parallel but partially overlapping sequences
 - International allocations at the ITU-R, the highest level
 - Embodied in the Radio Regulations, a diplomatic treaty
 - Renegotiated and renewed every 3-4 years: WRC-15
 - Harmonization to prevent cross-border interference
 - Standards supposed to promote indiscriminate growth
 - National allocations largely track the Radio Regulations
 - Every administration retains sovereignty inside borders
 - Less freedom to differentiate in close quarters
 - Legacy applications impede full harmonization
 - Always a gap in time, US now implementing WRC-07



How does spectrum management happen: II

- Allocations are only the **outline**, the *rules* are the implementation, the details and the devil lives there
 - ITU-R doesn't write the rules, may provide guidelines*
 - National rules govern whether our allocations are *usable*
 - Permitted power levels in shared bands
 - Unwanted emission levels for adjacent/nearby bands
 - Limitations on operations in vicinity of radio telescopes
 - Radio quiet and coordination zones

*except in part for satellites



How does spectrum management happen: III

- Allocations are only the outline, the **rules** are the implementation, the details and the devil lives there
 - ITU-R doesn't write the rules, may provides guidelines
 - National rules govern whether our allocations are *usable*
 - 608 614, 1400 1427, 2690 2700 MHz allocated but unusable in some countries due to their *rules*
 - Fighting for 608 614 MHz in US now (boo)
 - FCC announced new rules last Friday but hasn't told anyone what they are (yet) (probably boo)



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 - 608 614, 1400 1427, 2690 2700 MHz allocated but unusable in some countries due to their *rules*
 - Fighting for 608 614 MHz in US now
 - Usability of 3-4mm spectrum subject to new radars
 - 7/8 Allocated since 2000 but no rules until now
 - A global struggle to be carried out in the trenches, country by country, but many battles already lost
 - Please vote in favor of Draft Resolution B.4!



Spectrum management bodies for RAS ~5-7 FTE

- Organizations functioning world-wide, regionally, nationally
 - Global: <u>IUCAF</u> http://www.iucaf.org
 - Europe, South Africa: <u>CRAF http://www.craf.eu</u>
 - CRAF has a full-time PhD astronomer spectrum manager
 - Asia-Pacific: <u>RAFCAP</u> <u>http://www.atnf.csiro.au/rafcap</u>
 - US: CORF http://sites.nationalacademies.org/BPA/BPA_048819
 - CORF has volunteer astronomers & legal advice
 - US hires 1-2 PhD astronomers at NSF, unique



- **IUCAF** (SCIENTIFIC COMMITTEE ON FREQUENCY ALLOCATIONS FOR RADIO ASTRONOMY AND SPACE SCIENCE)
 - Chartered by ICSU The International Council for Science
 - Adhering bodies are IAU, URSI, COSPAR
 - Provide members (total ~ 12)
 - Together contribute ~ €8K/yr, incidentals/schools
 - » Members are responsible for their expenses
 - Formed to intervene at the ITU's 1960 WARC
 - Defined "passive service" of radio astronomy
 - Procured the protected band around the 21cm line
 - » In some bands "All emissions are prohibited"
 - » Less obvious than you might think
 - » Always some leakage in from outside



- **IUCAF** (SCIENTIFIC COMMITTEE ON FREQUENCY ALLOCATIONS FOR RADIO ASTRONOMY AND SPACE SCIENCE)
 - Serves as an umbrella organization, organizing principle
 - Officially recognized as the contact for radio astronomy in several matters concerning satellites
 - Has business meetings at GA of the adhering bodies IAU, URSI and COSPAR
 - Organizes week-long spectrum management schools every 4-5 years: 2000 in US; 2005 in Italy; 2010 in Japan, 2014 in Chile



- UCAF (SCIENTIFIC COMMITTEE ON FREQUENCY ALLOCATIONS FOR RADIO ASTRONOMY AND SPACE SCIENCE)
 - Members work within their own administrations to further national and regional policies favorable to astronomy
 - Members formulate consensus IUCAF positions that are articulated at ITU-R in Geneva



- **IUCAF** (SCIENTIFIC COMMITTEE ON FREQUENCY ALLOCATIONS FOR RADIO ASTRONOMY AND SPACE SCIENCE)
 - Many members gather in Geneva for Working Party 7D astronomy meetings, twice per year for one week
 - Some attend the very largest plenaries, called CPM-2 (10 days) and WRC (four weeks) every four years
 - Members attend some meetings of non-astronomy groups:
 - I covered meetings of the radar groups WP 5A & 5B and one meeting of a task group charged with identifying new spectrum for IMT- cell phones, wireless broadband
 - Adrian Tiplady and Wim van Driel attended other task group meetings, as far afield as South Africa
 - Masatoshi Ohishi recently attended SFCG in Japan



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 - Work needed in Geneva far outstrips IUCAF resources

